

Sector/A



PATENT APPLICATION  
Attorney's Do. No. 8514-50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

PHILIP D. LAPSLEY; PHILIP J. GIOIA; MICHAEL KLEEMAN

Serial No. 09/871,241

Filed: May 30, 2001

For: BIOMETRIC FINANCIAL  
TRANSACTION SYSTEM AND METHOD

TRANSMITTAL LETTER

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO:

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ON: 28 Sept 2001  
*[Signature]*

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Enclosed for filing in the above-referenced application are the following:

- ☒ Copy of Notice to File Missing Parts
- ☒ Filing Fee
- ☒ Applicant claims small entity status (see 37 CFR 1.27)
- ☒ Declaration
- ☒ Power of Attorney with copy of Assignment recorded in priority application Serial No. 60/208,680
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration
  - ☒ \$65 - Small Entity
- ☒ PTO Form 2038 authorizing credit card payment for the above-listed fees is enclosed.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & MCCODLOM, P.C.

By *[Signature]*  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,241	05/30/2001	Philip D. Lapsley	8514-50

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CONFIRMATION NO. 8211

## FORMALITIES LETTER



\*OC000000006371857\*

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$81.
  - \$81 for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 501.

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/05/2001 MBELETE1 00000085 131703 09871241

01 FC:201		370.00 OP
02 FC:203		81.00 OP
03 FC:205	15.00 CH	50.00 OP